



**Herzer  
Financial**  
BY SOCOTRA CAPITAL

Herzer Financial Services, Inc. – Loan Application

Subject Property Address: \_\_\_\_\_

Date of Purchase: \_\_\_\_\_ Purchase Price: \_\_\_\_\_ Loan Amount: \_\_\_\_\_

**INDIVIDUAL BORROWER/GUARANTOR**

Name: \_\_\_\_\_  
Home Phone: \_\_\_\_\_  
Business Phone: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Present Address: \_\_\_\_\_  
City, State, Zip: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Current Employer: \_\_\_\_\_  
Employer Address: \_\_\_\_\_  
# Years on the Job: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_  
Social Security #: \_\_\_\_\_  
Marital Status: ☐ Married ☐ Separated ☐ Unmarried  
(includes Single, divorced and widowed)

Title will be vested as follows: \_\_\_\_\_

Are there any outstanding judgements against you?

☐ Y ☐ N

Have you filed bankruptcy in the past 7 years?

☐ Y ☐ N

Have you had a property in foreclosure in the past 7 years?

☐ Y ☐ N

Are you a party to a lawsuit?

☐ Y ☐ N

Are you delinquent or in default on any debt?

☐ Y ☐ N

Are you obligated to pay alimony or child support?

☐ Y ☐ N

Are you a US Citizen?

☐ Y ☐ N

Are you a permanent resident alien?

☐ Y ☐ N

**CO-BORROWER/GUARANTOR**

Name: \_\_\_\_\_  
Home Phone: \_\_\_\_\_  
Business Phone: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Present Address: \_\_\_\_\_  
City, State, Zip: \_\_\_\_\_  
Occupation: \_\_\_\_\_  
Current Employer: \_\_\_\_\_  
Employer Address: \_\_\_\_\_  
# Years on the Job: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_  
Social Security #: \_\_\_\_\_  
Marital Status: ☐ Married ☐ Separated ☐ Unmarried  
(includes Single, divorced and widowed)

Are there any outstanding judgements against you?

☐ Y ☐ N

Have you filed bankruptcy in the past 7 years?

☐ Y ☐ N

Have you had a property in foreclosure in the past 7 years?

☐ Y ☐ N

Are you a party to a lawsuit?

☐ Y ☐ N

Are you delinquent or in default on any debt?

☐ Y ☐ N

Are you obligated to pay alimony or child support?

☐ Y ☐ N

Are you a US Citizen?

☐ Y ☐ N

Are you a permanent resident alien?

☐ Y ☐ N

**MONTHLY INCOME**

Gross Salary: \$ \_\_\_\_\_

Gross Rental Income: \$ \_\_\_\_\_

Other Income: \$ \_\_\_\_\_

Total Gross Income: \$ \_\_\_\_\_

Gross Salary: \$ \_\_\_\_\_

Gross Rental Income: \$ \_\_\_\_\_

Other Income: \$ \_\_\_\_\_

Total Gross Income: \$ \_\_\_\_\_

### MONTHLY EXPENSES

Primary Rent/Mortgage: \$ _____	Primary Rent/Mortgage: \$ _____
Credit Cards: \$ _____	Credit Cards: \$ _____
Rental Mortgage Payments: \$ _____	Rental Mortgage Payments: \$ _____
Spousal/Child Support: \$ _____	Spousal/Child Support: \$ _____
Vehicle Loans: \$ _____	Vehicle Loans: \$ _____
Other: \$ _____	Other: \$ _____

### ASSETS & LIABILITIES

#### ASSETS

Cash on Hand in Bank: \$ \_\_\_\_\_

IRA or Retirement Account: \$ \_\_\_\_\_

Real Estate (present value): \$ \_\_\_\_\_

Automobile (present value): \$ \_\_\_\_\_

Other Assets: \$ \_\_\_\_\_

Total of All Assets: \$ \_\_\_\_\_

#### LIABILITIES

Auto Loans: \$ \_\_\_\_\_

Mortgage on Real Estate: \$ \_\_\_\_\_

Unpaid Taxes: \$ \_\_\_\_\_

Other Liabilities: \$ \_\_\_\_\_

Total of all Liabilities: \$ \_\_\_\_\_

#### ASSETS

Cash on Hand in Bank: \$ \_\_\_\_\_

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Real Estate (present value): \$ \_\_\_\_\_

Automobile (present value): \$ \_\_\_\_\_

Other Assets: \$ \_\_\_\_\_

Total of All Assets: \$ \_\_\_\_\_

#### LIABILITIES

Auto Loans: \$ \_\_\_\_\_

Mortgage on Real Estate: \$ \_\_\_\_\_

Unpaid Taxes: \$ \_\_\_\_\_

Other Liabilities: \$ \_\_\_\_\_

Total of all Liabilities: \$ \_\_\_\_\_

### PURPOSE OF LOAN

The property pledged for this loan is: ☐ Investment ☐ Secondary Residence ☐ Primary Residence

What is the purpose of this loan: ☐ Purchase ☐ Refinance ☐ Construction

If refinance, what will the funds be used for? \_\_\_\_\_

### Property Insurance Contact

Agent Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Email: \_\_\_\_\_

#### AUTHORIZATION TO OBTAIN CREDIT REPORT

In connection with the loan for which I am applying through Herzer Financial Services, Inc. ("COMPANY"), I do hereby authorize COMPANY to obtain a credit report from any credit reporting agency of its choice. Copies of the report may be given to the intended lender or lenders for the purpose of lender's or lenders' reliance when making the decision to fund the loan. Further credit reports may be obtained by COMPANY at any time during the loan term. Authorization is hereby granted to obtain information regarding my employment, bank accounts, and outstanding credit. Authorization is further granted to use a photostatic copy of my signature below, to obtain information regarding any of the aforementioned items.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

\_\_\_\_\_  
Borrower/Applicant Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Borrower/Applicant Signature

\_\_\_\_\_  
Date

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## Demographic Information Addendum.

This section asks about your ethnicity, sex and race.

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The purpose of collecting this information is to help ensure that all the applicants are treated fairly and that the housing needs of communities and neighborhoods are being fulfilled. For residential mortgage lending, Federal law requires that we as applicants for their demographic information (ethnicity, sex, and race) in order to monitor our compliance with equal credit opportunity, fair housing and home mortgage disclosure laws. You are not required to provide this information, but are encouraged to do so. You may select one or more designations for "Ethnicity" and one or more designations for "Race". The law provides that we may not discriminate on the basis of this information, or on whether you choose to provide it. However, if you choose not to provide the information and you have made this application in person, Federal regulations require us to note your ethnicity, sex, and race on the basis of visual observation or surname. The law also provides that we may not discriminate on the basis of age or marital status information you provide in this application. If you do not wish to provide some or all of this information, please check below.

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### **Ethnicity:** Check one or more

- ☐ Hispanic Or Latino  
☐ Mexican   ☐ Puerto Rican   ☐ Cuban  
☐ Other Hispanic or Latino – *Print origin:*

*For example: Argentinean, Colombian, Dominican, Nicaraguan  
Salvadorian, Spaniard, and so on.*

- ☐ Not Hispanic or Latino  
☐ I do not wish to provide this information

### **Sex**

- ☐ Female  
☐ Male  
☐ I do not wish to provide this information

### **Race:** Check one or more

- ☐ American Indian or Alaska Native – *Print name of enrolled  
or principal tribe:*

- ☐ Asian  
☐ Asian Indian   ☐ Chinese   ☐ Filipino  
☐ Japanese   ☐ Korean   ☐ Vietnamese  
☐ Other Asian – *Print Race:*

*For example: Hmong, Laotian, Thai, Pakistani, Cambodian, and  
so on.*

- ☐ Black or African American  
☐ Native Hawaiian or Other Pacific Islander  
☐ Native Hawaiian   ☐ Guamanian or Chamorro   ☐ Samoan  
☐ Other Pacific Islander – *Print Race:*

*For example: Fijian, Tongan, and so on*

- ☐ White  
☐ I do not wish to provide this information

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### **To Be Completed by Financial Institution (for application taken in person):**

- |  |                             |                              |
|--|-----------------------------|------------------------------|
| Was the ethnicity of the Borrower collected on the basis of visual observation or surname? | <input type="checkbox"/> NO | <input type="checkbox"/> YES |
| Was the sex of the Borrower collected on the basis of visual observation or surname?       | <input type="checkbox"/> NO | <input type="checkbox"/> YES |
| Was the race of the Borrower collected on the basis of visual observation or surname?      | <input type="checkbox"/> NO | <input type="checkbox"/> YES |

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### **The Demographic Information was provided through:**

- ☐ Face-to-Face Interview (includes Electronic Media w/Video Component)   ☐ Telephone Interview   ☐ Fax or Mail   ☐ Email or Internet
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Loan Officer

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Date

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DRE License#

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NMLO License#

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CFL License#

**1779 Woodside Road, Suite 201A \* Redwood City, CA 94061 \* 650-363-8003 \* 650-363-8330**  
**California Finance Lender #6054500 \* NMLS #314253**  
**Ca Bureau of Real Estate License #00417082**

SCHEDULE A Real Estate Owned									
If percentage of ownership in any property is less than 100%, indicate other owners and their percentage of ownership in the remarks section below.				Market Value	Balance of Mortgage(s)	Monthly Rental Income	Monthly Taxes & Insurance	Monthly Mortgage Payment(s)	Net Monthly Rental Income =(1)-(2+3)
	Property Address		% Ownership		1 <sup>st</sup> D/T:			1 <sup>st</sup> D/T:	
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
	Property Address		% Ownership		1 <sup>st</sup> D/T:				
	Name & Address of Lender on Mortgage		Loan Number						
	Property Type	Acquisition Cost	Acquisition Date		2 <sup>nd</sup> D/T:				
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